# EAST Search History

| Ref # | Hits | Search Query | DBs | Default Operator | Plurals | Time Stamp |
|---|---|---|---|---|---|---|
| S1 | 200 | (US-20050246264-$ or US-20050108152-$ or US-20020072930-$ or US-20020077893-$ or US-20030069744-$ or US-20030083895-$ or US-20040220816-$ or US-20050177491-$ or US-20060111952-$ or US-20060178978-$ or US-20060271474-$ or US-20070100655-$ or US-20070239574-$ or US-20030144943-$ or US-20050102219-$ or US-20050108153-$ or US-20030233309-$ or US-20020091611-$ or US-20020194107-$ or US-20050160024-$ or US-20010037273-$ or US-20020055901-$ or US-20030033241-$ or US-20060178983-$ or US-20070124167-$ or US-20070124168-$).did. or (US-20010039496-$ or US-20020040319-$ or US-20020107746-$ or US-20020198815-$ or US-20030078872-$ or US-20030097349-$ or US-20030139997-$ or US-20030149654-$ or US-20040030631-$ or US-20040044611-$ or US-20040220820-$ or US-20040220823-$ or US-20050144116-$ or US-20050160031-$ or US-20070005383-$ or US-20070083453-$ or US-20030225666-$ or US-20060149657-$ or US-20070038544-$ or US-20030036963-$ or US-20060161482-$ or US-20070208645-$ or US-20050010423-$ or US-20050038688-$ or US-20050108146-$ or US- | US-PGPUB; USPAT | ADJ | ON | 2007/12/26 15:39 |

20030050879-$ or US-20070255641-$).did. or (US-20010029482-$ or US-20030212673-$ or US-20060200462-$ or US-20060259476-$ or US-20070022041-$ or US-20070061820-$ or US-20040260571-$ or US-20020007335-$ or US-20020035520-$ or US-20020049624-$ or US-20020052814-$ or US-20020065739-$ or US-20030078897-$ or US-20030229592-$ or US-20040030616-$ or US-20040128215-$ or US-20040138912-$ or US-20050154655-$ or US-20050203768-$ or US-20050234802-$ or US-20050234803-$ or US-20060004647-$ or US-20060004648-$ or US-20060282275-$ or US-20070067234-$ or US-20010030395-$ or US-20020194034-$).did. or (US-20030061118-$ or US-20030061119-$ or US-20030061150-$ or US-20040236660-$ or US-20050060259-$ or US-20050160032-$ or US-20060080238-$ or US-20020038236-$ or US-20020107781-$ or US-20020120918-$ or US-20020120919-$ or US-20030083973-$ or US-20030200101-$ or US-20040186806-$ or US-20050027842-$ or US-20050203825-$ or US-20060015445-$ or US-20060224660-$ or US-20070061230-$ or US-20070061231-$ or US-20030023477-$ or US-20030212566-$ or US-20060277113-$ or US-20020103667-$ or US-20060194572-$ or US-20010011295-$ or US-20030009539-$).did. or (US-20060282378-$ or US-

20020052948-$ or US-
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20020052836-$ or US-
20020062277-$ or US-
20020087452-$ or US-
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20020128948-$ or US-
20020198813-$ or US-
20030014519-$ or US-
20030044015-$).did. or
(US-6470325-$ or US-
6014643-$ or US-5915209-
$ or US-6044352-$ or US-
6578011-$ or US-6970835-
$ or US-5297031-$ or US-
5774877-$ or US-5793301-
$ or US-5797002-$ or US-
5915245-$ or US-6272474-
$ or US-6314404-$ or US-
6463419-$ or US-6745211-
$ or US-7165048-$ or US-
5502637-$ or US-6148298-
$ or US-7249080-$ or US-
5966699-$ or US-6314089-
$ or US-6466914-$ or US-
6487548-$ or US-6633908-
$ or US-4554418-$ or US-
4838052-$).did. or (US-
5207402-$ or US-5463547-
$ or US-6236977-$ or US-
6711548-$ or US-6871140-
$ or US-7076452-$ or US-
7174301-$ or US-7254559-
$ or US-6058381-$ or US-
6049781-$ or US-6850907-
$ or US-4942616-$ or US-
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$ or US-6029146-$ or US-
6247000-$ or US-6748583-
$ or US-6817010-$ or US-
6931455-$ or US-7302477-
$ or US-5202827-$ or US-
5671412-$ or US-6292830-
$ or US-6321212-$ or US-
5903721-$ or US-6341273-
$ or US-6343738-$).did.
or (US-6941282-$ or US-
5727203-$ or US-5940827-

| | | $ or US-6144947-$ or US-5573244-$ or US-5842921-$ or US-5878215-$ or US-6615187-$ or US-6615188-$ or US-3656148-$ or US-6539362-$ or US-6557029-$ or US-6732092-$ or US-6768981-$ or US-6876309-$ or US-6999589-$ or US-7107240-$ or US-7110969-$ or US-7266839-$ or US-7310051-$).did. | | | | |
|---|---|---|---|---|---|---|
| S2 | 1 | S1 and "research broker" | US-PGPUB; USPAT | ADJ | ON | 2007/12/26 15:40 |
| S3 | 0 | S1 and buy-side broker | US-PGPUB; USPAT | ADJ | ON | 2007/12/26 15:40 |
| S4 | 4 | S1 and buy-side | US-PGPUB; USPAT | ADJ | ON | 2007/12/26 15:40 |
| S5 | 132 | S1 and commission | US-PGPUB; USPAT | ADJ | ON | 2007/12/26 15:41 |
| S6 | 46 | S1 and commission and research | US-PGPUB; USPAT | ADJ | ON | 2007/12/26 15:41 |
| S7 | 1032 | S1 and step-out or step-in | US-PGPUB; USPAT | ADJ | ON | 2007/12/26 15:41 |
| S8 | 1 | S1 and step-out | US-PGPUB; USPAT | ADJ | ON | 2007/12/26 15:42 |
| S9 | 1 | ("20030225666").PN. | US-PGPUB; USPAT | OR | OFF | 2007/12/26 15:47 |
| S10 | 3155 | 705/35.ccls. | US-PGPUB; USPAT | ADJ | ON | 2007/12/26 16:38 |
| S11 | 639 | step-out or step-in and commission | US-PGPUB; USPAT | ADJ | ON | 2007/12/26 16:38 |
| S12 | 130064 | research and (trad$4 or execut$4) | US-PGPUB; USPAT | ADJ | ON | 2007/12/26 16:39 |
| S13 | 0 | S10 and S11 and S12 | US-PGPUB; USPAT | ADJ | ON | 2007/12/26 16:39 |
| S14 | 1 | S10 and S11 | US-PGPUB; USPAT | ADJ | ON | 2007/12/26 16:39 |
| S15 | 9 | (step-out or step-in) and commission | US-PGPUB; USPAT | ADJ | ON | 2007/12/26 16:40 |
| S16 | 3354 | 705/37.ccls. | US-PGPUB; USPAT | ADJ | ON | 2007/12/26 16:46 |
| S18 | 191 | S16 and S12 and commission | US-PGPUB; USPAT | ADJ | ON | 2007/12/26 16:47 |
| S19 | 3 | S16 and S12 and commission and (step-out or recapture) | US-PGPUB; USPAT | ADJ | ON | 2007/12/26 16:47 |
| S20 | 0 | S16 and confidential near3 commission | US-PGPUB; USPAT | ADJ | ON | 2007/12/26 17:03 |

| S21 | 2 | S16 and confidential same commission | US-PGPUB; USPAT | ADJ | ON | 2007/12/26 17:03 |
| S22 | 20 | "705".clas. and confidential same commission | US-PGPUB; USPAT | ADJ | ON | 2007/12/26 17:07 |
| S23 | 109 | commission same research and "705".clas. | US-PGPUB; USPAT | ADJ | ON | 2007/12/26 17:24 |
| S24 | 57 | commission same research and "705".clas. and @ad<"20040212" | US-PGPUB; USPAT | ADJ | ON | 2007/12/26 17:24 |
| S25 | 57 | commission same research and "705".clas. and @ad<"20040213" | US-PGPUB; USPAT | ADJ | ON | 2007/12/26 17:25 |
| S26 | 0 | ("2003/0225666").URPN. | USPAT | ADJ | ON | 2007/12/26 17:26 |
| S27 | 3358 | 705/37.ccls. | US-PGPUB; USPAT | ADJ | ON | 2007/12/27 10:03 |
| S28 | 130275 | research and (trad$4 or execut$4) | US-PGPUB; USPAT | ADJ | ON | 2007/12/27 10:04 |
| S29 | 579 | S27 and S28 | US-PGPUB; USPAT | ADJ | ON | 2007/12/27 10:04 |
| S30 | 1 | "20020046147" | US-PGPUB; USPAT | ADJ | ON | 2007/12/27 10:07 |
| S31 | 636 | step-out or step-in and commission and "705". clas. | US-PGPUB; USPAT | ADJ | ON | 2007/12/27 14:24 |
| S32 | 633 | step-out or step-in and commission and "705". clas. @ad<"20040213" | US-PGPUB; USPAT | ADJ | ON | 2007/12/27 14:25 |
| S33 | 633 | step-out or step-in and commission and 705/35-38.ccls. @ad<"20040213" | US-PGPUB; USPAT | ADJ | ON | 2007/12/27 14:27 |
| S34 | 638 | step-out or step-in and commission and @ad<"20040213" | US-PGPUB; USPAT | ADJ | ON | 2007/12/27 14:29 |
| S35 | 8294 | 705/35-38.ccls. | US-PGPUB; USPAT | ADJ | ON | 2007/12/27 14:29 |
| S36 | 4 | S34 and S35 | US-PGPUB; USPAT | ADJ | ON | 2007/12/27 14:29 |
| S37 | 6 | (step-out or step-in) and commission and @ad<"20040213" | US-PGPUB; USPAT | ADJ | ON | 2007/12/27 14:32 |
| S38 | 0 | ("2005/0246264").URPN. | USPAT | ADJ | ON | 2007/12/27 14:34 |
| S39 | 491 | step-out and @ad<"20040213" | US-PGPUB; USPAT | ADJ | ON | 2007/12/27 14:34 |
| S40 | 2 | step-out and @ad<"20040213" and 705/1-38.ccls. | US-PGPUB; USPAT | ADJ | ON | 2007/12/27 14:35 |

| S41 | 5 | commission sharing arrangement | US-PGPUB; USPAT | ADJ | ON | 2007/12/27 14:46 |
| S42 | 3 | trading strategy with disclose | US-PGPUB; USPAT | ADJ | ON | 2007/12/27 14:50 |
| S43 | 1 | trading strategy with reveal | US-PGPUB; USPAT | ADJ | ON | 2007/12/27 14:52 |
| S44 | 2 | trading position with (reveal$2 or disclos$4) | US-PGPUB; USPAT | ADJ | ON | 2007/12/27 14:54 |
| S45 | 9 | commission management and @ad<"20040213" and 705/1-38.ccls. | US-PGPUB; USPAT | ADJ | ON | 2007/12/27 17:11 |
| S46 | 200 | (US-20050246264-$ or US-20050108152-$ or US-20020072930-$ or US-20020077893-$ or US-20030069744-$ or US-20030083895-$ or US-20040220816-$ or US-20050177491-$ or US-20060111952-$ or US-20060178978-$ or US-20060271474-$ or US-20070100655-$ or US-20070239574-$ or US-20030144943-$ or US-20050102219-$ or US-20050108153-$ or US-20030233309-$ or US-20020091611-$ or US-20020194107-$ or US-20050160024-$ or US-20010037273-$ or US-20020055901-$ or US-20030033241-$ or US-20060178983-$ or US-20070124167-$ or US-20070124168-$).did. or (US-20010039496-$ or US-20020040319-$ or US-20020107746-$ or US-20020198815-$ or US-20030078872-$ or US-20030097349-$ or US-20030139997-$ or US-20030149654-$ or US-20040030631-$ or US-20040044611-$ or US-20040220820-$ or US-20040220823-$ or US-20050144116-$ or US-20050160031-$ or US-20070005383-$ or US-20070083453-$ or US-20030225666-$ or US-20060149657-$ or US- | US-PGPUB; USPAT | ADJ | ON | 2007/12/31 10:20 |

20070038544-$ or US-20030036963-$ or US-20060161482-$ or US-20070208645-$ or US-20050010423-$ or US-20050038688-$ or US-20050108146-$ or US-20030050879-$ or US-20070255641-$).did. or (US-20010029482-$ or US-20030212673-$ or US-20060200462-$ or US-20060259476-$ or US-20070022041-$ or US-20070061820-$ or US-20040260571-$ or US-20020007335-$ or US-20020035520-$ or US-20020049624-$ or US-20020052814-$ or US-20020065739-$ or US-20030078897-$ or US-20030229592-$ or US-20040030616-$ or US-20040128215-$ or US-20040138912-$ or US-20050154655-$ or US-20050203768-$ or US-20050234802-$ or US-20050234803-$ or US-20060004647-$ or US-20060004648-$ or US-20060282275-$ or US-20070067234-$ or US-20010030395-$ or US-20020194034-$).did. or (US-20030061118-$ or US-20030061119-$ or US-20030061150-$ or US-20040236660-$ or US-20050060259-$ or US-20050160032-$ or US-20060080238-$ or US-20020038236-$ or US-20020107781-$ or US-20020120918-$ or US-20020120919-$ or US-20030083973-$ or US-20030200101-$ or US-20040186806-$ or US-20050027842-$ or US-20050203825-$ or US-20060015445-$ or US-20060224660-$ or US-20070061230-$ or US-20070061231-$ or US-20030023477-$ or US-

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(US-20060282378-$ or US-
20020052948-$ or US-
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20040111369-$ or US-
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20020099788-$ or US-
20020128948-$ or US-
20020198813-$ or US-
20030014519-$ or US-
20030044015-$).did. or
(US-6470325-$ or US-
6014643-$ or US-5915209-
$ or US-6044352-$ or US-
6578011-$ or US-6970835-
$ or US-5297031-$ or US-
5774877-$ or US-5793301-
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$ or US-6314404-$ or US-
6463419-$ or US-6745211-
$ or US-7165048-$ or US-
5502637-$ or US-6148298-
$ or US-7249080-$ or US-
5966699-$ or US-6314089-
$ or US-6466914-$ or US-
6487548-$ or US-6633908-
$ or US-4554418-$ or US-
4838052-$).did. or (US-
5207402-$ or US-5463547-
$ or US-6236977-$ or US-
6711548-$ or US-6871140-
$ or US-7076452-$ or US-
7174301-$ or US-7254559-
$ or US-6058381-$ or US-
6049781-$ or US-6850907-
$ or US-4942616-$ or US-
5243331-$ or US-5953229-
$ or US-6029146-$ or US-
6247000-$ or US-6748583-
$ or US-6817010-$ or US-
6931455-$ or US-7302477-

| | | $ or US-5202827-$ or US-5671412-$ or US-6292830-$ or US-6321212-$ or US-5903721-$ or US-6341273-$ or US-6343738-$).did. or (US-6941282-$ or US-5727203-$ or US-5940827-$ or US-6144947-$ or US-5573244-$ or US-5842921-$ or US-5878215-$ or US-6615187-$ or US-6615188-$ or US-3656148-$ or US-6539362-$ or US-6557029-$ or US-6732092-$ or US-6768981-$ or US-6876309-$ or US-6999589-$ or US-7107240-$ or US-7110969-$ or US-7266839-$ or US-7310051-$).did. | | | | |
|------|------|------|------|------|------|------|
| S47 | 1 | S46 and (step-out or step-in) | US-PGPUB; USPAT | ADJ | ON | 2007/12/31 10:20 |
| S48 | 636 | step-out or step-in and commission and accounting | US-PGPUB; USPAT | ADJ | ON | 2007/12/31 10:21 |
| S49 | 635 | step-out or step-in and commission and accounting and (stock or trad$4) | US-PGPUB; USPAT | ADJ | ON | 2007/12/31 10:22 |
| S50 | 3 | (step-out or step-in) and commission and accounting and (stock or trad$4) | US-PGPUB; USPAT | ADJ | ON | 2007/12/31 10:22 |
| S51 | 7 | (step-out or step-in) and accounting and (stock or trad$4) | US-PGPUB; USPAT | ADJ | ON | 2007/12/31 10:23 |
| S52 | 5 | (step-out or step-in) and commission and (stock or trad$4) | US-PGPUB; USPAT | ADJ | ON | 2007/12/31 10:23 |
| S53 | 4 | (step-out or step-in) and commission and accounting | US-PGPUB; USPAT | ADJ | ON | 2007/12/31 10:24 |
| S54 | 1344 | "computer process".clm. | USPAT | ADJ | ON | 2007/12/31 10:39 |
| S55 | 79 | "computer process".clm. and 705/1-38.ccls. | USPAT | ADJ | ON | 2007/12/31 10:39 |
| S56 | 2 | execution quality and step-out | US-PGPUB; USPAT | ADJ | ON | 2007/12/31 12:59 |
| S57 | 1 | step-out arrangement$2 | US-PGPUB; USPAT | ADJ | ON | 2007/12/31 13:53 |
| S58 | 0 | step-out near6 accounting | US-PGPUB; USPAT | ADJ | ON | 2007/12/31 13:54 |

| S59 | 11 | step-out near6 track$3 | US-PGPUB; USPAT | ADJ | ON | 2007/12/31 13:54 |
| S60 | 40 | execution quality and broker | US-PGPUB; USPAT | ADJ | ON | 2007/12/31 13:58 |
| S61 | 25 | execution quality and broker and @ad<"20040213" | US-PGPUB; USPAT | ADJ | ON | 2007/12/31 13:59 |
| S62 | 0 | broker near5 preference$2 @ad<"20040213" | US-PGPUB; USPAT | ADJ | ON | 2008/01/02 09:01 |
| S63 | 9154 | trading | DERWENT | ADJ | ON | 2008/01/02 09:03 |
| S64 | 1 | "20050246264" | DERWENT | ADJ | ON | 2008/01/02 09:03 |
| S65 | 5806 | T01-N01A2F | DERWENT | ADJ | ON | 2008/01/02 09:04 |
| S66 | 217 | T01-N01A2F and @ad<"20040213" and broker | DERWENT | ADJ | ON | 2008/01/02 09:05 |
| S67 | 149 | T01-N01A2F and @ad<"20040213" and (soft-dollar or soft dollar or step-out or step-in or step$4) | DERWENT | ADJ | ON | 2008/01/02 09:07 |
| S68 | 1 | T01-N01A2F and @ad<"20040213" and (soft-dollar or soft dollar or step-out or step-in or stepp$4) | DERWENT | ADJ | ON | 2008/01/02 09:11 |
| S69 | 1 | T01-N01A2F and @ad<"20040213" and (research and broker) | DERWENT | ADJ | ON | 2008/01/02 09:12 |
| S70 | 1 | "20050021437" | US-PGPUB | ADJ | ON | 2008/01/02 09:16 |
| S71 | 6 | ("20020128958" \| "20020198815" \| "4677552" \| "5787402" \| "6029146" \| "6173270").PN. | US-PGPUB; USPAT | ADJ | ON | 2008/01/02 09:22 |
| S72 | 11 | sponsoring broker | US-PGPUB; USPAT | ADJ | ON | 2008/01/02 09:29 |

1/2/2008 2:11:19 PM
C:\Documents and Settings\dwood2\My Documents\EAST\Workspaces\10-779486.wsp